

STATE OF MINNESOTA



**MARK DAYTON**  
**GOVERNOR**

NOTICE OF APPOINTMENT

**Michael J. Rothman**

4613 Mounthall Terrace  
Minnetonka, Minnesota 55345  
County of Hennepin  
Congressional District 3

Because of the special trust and confidence I have in your integrity, judgment and ability, I have appointed and commissioned you to have and to hold the office of:

**COMMISSIONER  
OF COMMERCE**

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Effective: January 12, 2011  
Term Expires: January 5, 2015

**President of the Senate**

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, January 7, 2011.



Governor

Secretary of State

Handwritten signature of Mark Dayton, Governor of Minnesota.

Handwritten signature of Mark Ritchie, Secretary of State of Minnesota.

**Michael J. Rothman**  
4613 Mounthall Terrace  
Minnetonka, MN 55345  
W 612-604-6486  
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[mrothman@winthrop.com](mailto:mrothman@winthrop.com)

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### **Professional Experience**

Eighteen years in law practice, focus on insurance and financial services regulation, and four years of experience in Minnesota state government.

**Winthrop & Weinstine, P.A.**, Minneapolis, 2002–present.

Shareholder and Co-chair of Insurance and Financial Services Practice Group. Practice areas include insurance law and regulation, transactions and corporate law, business and commercial litigation, and ethics, campaign finance and elections. Trial and appellate practice in federal and state courts, as well as in mediations and arbitrations. Counsel to 2010 Dayton recount team. Counsel to 2006 Mark Ritchie for Secretary of State Campaign. Counsel to successful candidate in 2006 St. Louis County Attorney recount.

**University of Minnesota School of Law**, Minneapolis, 2005-2007.  
Adjunct Professor, Insurance Law and Regulation.

**Barger & Wolen**, Los Angeles, 1996-2002.

Partner 2001-2002; Associate Attorney 1996-2001. Insurance regulation and litigation.

**Loeb & Loeb**, Los Angeles, 1996.

Associate Attorney. Commercial bankruptcy and workouts.

**Rubinstein & Perry**, Los Angeles, 1993-1995.

Associate Attorney. Insurance insolvency litigation, including insurance insolvencies of Mission Insurance Companies and Executive Life Insurance Company, two of the largest in U.S. history.

**Minnesota State Senate**, St. Paul, 1989-1992.

Administrative Assistant to the Assistant Senate Majority Leader, including work on overhaul of Minnesota insurance insolvency laws.

**Minnesota Court of Appeals**, St. Paul, 1988-1989.

Law Clerk to the Honorable Gary L. Crippen.

### **Bar Admissions**

U.S. Supreme Court, 1995

U.S. Court of Appeals for the Eighth Circuit, 2002

U.S. Court of Appeals for the Ninth Circuit, 1995

U.S. District Court, District of Minnesota, 1988

U.S. District Court, Central District of California, 1993  
U.S. District Court, Northern District of California, 2000  
U.S. District Court, Southern District of California, 2000  
Minnesota Supreme Court, 1988  
California Supreme Court, 1993

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### Education

University of Minnesota Law School, J.D., *cum laude*, 1988  
- President of the Moot Courts Board; Competition Team, International Moot Court  
Carleton College, B.A., Political Science, *magna cum laude*, 1984

### Publications

- Eighth Circuit Editor, Reference Handbook on Insurance Company Runoff and Receiverships (5<sup>th</sup> ed., 2009)
- Co-author, Minnesota Insurance Law Deskbook, ch. 8, "Excess Insurance," Minnesota Continuing Legal Education (3<sup>rd</sup> ed., 2007)
- Co-author, "Recent Developments in Excess Insurance, Surplus Lines Insurance, and Reinsurance," ABA Tort Trial & Insurance Practice Law Journal (Winter 2006)
- Co-author, "Recent Developments in Insurance Regulation," ABA Tort Trial & Insurance Practice Law Journal (Winter 2004)
- Author, "The Supreme Court Set to Address Clash Between Exercise of Federal Jurisdiction Powers and States' Rights to Regulate Insurance Insolvencies," Mealey's Litigation Reports, Reinsurance (May 1996)

### Seminars Taught

- Insurance Law for Employment Lawyers, MCLE (October 2010)
- ERISA Fiduciary Duties, NBI (September 2010)
- Insurance Law for Litigators, MCLE (August 2010)
- "Insurance Receivership and Run-Off: The Next Level," ABA Tort Trial and Insurance Practice Session (2009)
- "Cross-Border Insurance Insolvency," International Association of Insurance Receivers (IAIR) Issues Forum, National Association of Insurance Commissioners (NAIC) (Summer 2009)
- "Excess Insurance," Minnesota Insurance Law Deskbook, Minnesota State Bar Association (2007, 2009)
- "Annuity Suitability Under the Microscope," ABA/TIPS Tort and Trial Section Annual Meeting, New York City, NY (2008)
- "ERISA Updates," Twin Cities Claims Conference (2006-2007)
- "Get SMART: The Future of Insurance Regulation," Minnesota Department of Commerce sponsored NAIC Midwestern Zone Staff Retreat (2005)
- "Bad-Faith Update," Twin Cities Claims Conference (2005)
- "Minnesota Unfair Claims Practice," Michigan (2004)

- "Optional Federal Charter-Update," Federation of Regulatory Counsel, and Insurance Regulation Committee of the ABA (2003)
- "Toxic Mold Litigation," Minnesota Builders Association (2004)
- "Insurance and Real Estate Markets: The Impact of Terrorism and Mold," Hennepin County Bar Association Real Estate Section (2003)

### Community, Professional Associations & Memberships

- American Bar Association
  - Tort, Trial and Insurance Practice Section (TIPS), Insurance Regulation Committee, vice chair, 2004-2005
- Federation of Regulatory Counsel (FORC), vice chair
  - Board Member, 2006-present
- Minnesota State Bar Association
- California State Bar Association
- Hennepin County Bar Association
- Minnesota Urban Debate League (MUDL)
  - Founding and Honorary Board Member, 2004-present
- University of Minnesota School of Law Mentorship Program, 2010
- Dr. Jane Goodall Presentation, Event Planning Committee, 2010
- Strategic Advisory Panel, Heartland Democracy, 2010
- Legal Services Division, United Jewish Federation of L.A., 1997-2002
- Volunteer, Legal Aid, First AME Church, 1994

Reside in Minnetonka with wife, Shari Latz Rothman, a professional harpist, and three children. Interests include coaching mite hockey, GALS softball, T-ball, soccer; enjoy skating, running and swimming.

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