



MINNESOTA

CAMPAIGN FINANCE BOARD

Vice Chair - Margaret Leppik



Margaret (Peggy) Leppik was appointed to the Board by Governor Dayton in May 2015 and then re-appointed in January 2016 and again in June 2017 for a term ending in January of 2020. She fills a Board position requiring a former Republican legislator. Ms. Leppik served as a state representative from 1991 - 2003 where she chaired the Higher Education Finance Committee. She served on the Metropolitan Council from 2003 - 2011 where she was vice chair for three years and chaired the Environmental Committee. A graduate of Smith College, Ms. Leppik is an active volunteer for numerous nonprofit organizations.

Margaret W. Leppik
7500 Western Avenue
Golden Valley, MN 55427
763-546-3328 peggyleppik@comcast.net

May 1, 2017

Dear Gov. Dayton,

In May 2015, you appointed me to the Campaign Finance and Public Disclosure Board to complete a term that filled the former Republican legislator position. That appointment ended in January 2016, and I was then reappointed and served until *sine die* on May 23, 2016. During the confirmation process, both the Senate Rules and Administration Committee and the House Government Operations and Elections Policy Committee unanimously recommended my confirmation, but final floor votes were not taken. I reapplied after the position was posted and you appointed me to serve until January 2017.

As in 2016, I was reappointed in January and served until my term ended when the legislature failed to act on confirmation by April 21, 2017. I am applying again for the same seat as I have enjoyed serving on the CFB and believe strongly in the importance of its work in maintaining the integrity of our state elections. It would be an honor to continue to serve.

Yours truly,

Margaret (Peggy) Leppik

Margaret W. Leppik
7500 Western Avenue
Golden Valley, MN 55427
763-546-3328 peggyleppik@comcast.net

RESUME

Education

Smith College, B.A. Sociology, 1965
University of Pennsylvania, non-degree program, 1965-67

Work Experience

Metropolitan Council Member, 2003-2011; vice chair 2008-2011
Capitol Gains, legislative liaison, 2003-2009
Minnesota House of Representatives, 1991-2003
University of Wisconsin, laboratory assistant, 1968-69
University of Pennsylvania Wistar Institute, laboratory assistant, 1967-68

Volunteer Activities

Minnesota Campaign Finance and Public Disclosure Board, member, 5/2015 – 5/23/2016;
7/16 – 4/21/17
Dean's Advisory Council, Hubert H. Humphrey School of Public Affairs, 2003-present
ISD 281 Adult Academic Program tutor, 1999-present
The Works Museum, Board of Directors, 2012-present
The Nature Conservancy Minnesota Chapter, Board of Trustees, 2003-2009; Vice
Chair, 2008-2009; Global Advisory Committee, 2012-present
Minnesota Planetarium Society, Board of Directors 2003-2010; President, 2007-09
University of Minnesota Landscape Arboretum, 1998-4/2016
Minnesota Partnership for Action Against Tobacco (MPAAT; now Clearway Minnesota);
Board of Directors, 1998-2003
League of Women Voters of Minnesota, Board of Directors 1984-88; Vice President
1986-88
Success by Six Northwest, Public Affairs Committee, 1993-96
Smith College Alumnae Association Regional Coordinator, 1991-93
Smith College Class President, 1985-90
Smith College Club of Minnesota, President 1986-87
The Minnesota Opera, Board of Directors, 1987-92
The Minnesota Opera Association, Board of Directors; President, 1987-92
Golden Valley Planning Commission, 1981-1990
Golden Valley Board of Zoning Appeals, 1985-87

Affiliations

Golden Valley Rotary Club
Northwest Suburban Optimist Club
League of Women Voters
Golden Valley Historical Society

Selected Awards

Rotary Club of Golden Valley, Citizen of the Year, 2006
Minnesota Water Quality Partnership, Outstanding Advocate, 2002
Presidential Medallion, North Hennepin Community College, 2003
St. Paul Business and Professional Women, Legislator of the Year, 1998
University of Minnesota Alumni Association, Legislator of the Year, 1995 and 1998

Application for the position Member (Former Republican Legislator)

Part I: Position Sought

Agency Name: Campaign Finance and Public Disclosure Board

Position: Member (Former Republican Legislator)

Part II: Applicant Information

Name: Margaret (Peggy) Leppik

Phone: (763) 546-3328

Mailing Address: 7500 Western Ave Golden Valley 55427

Email: peggyleppik@comcast.net

County: Hennepin

Mn House District: No Answer

US House District: 5

Recommended by the Appointing Authority: True

Part III: Appending Documentation

Cover Letter and Resume

Type	File Type
Cover Letter	application/msword
Resume	application/msword

Additional Documents (.doc, .docx, .pdf, .txt)

Type	File Name
No additional documents found.	

Part IV: Optional Statistical Information

Gender: Female

Disability: No

Age:

Political Affiliation: Republican

Ethnicity: White or Caucasian

Hispanic, Latino or Spanish origin: No Answer

Part V: Signature

Signature: Margaret W. Leppik

Date: 5/1/2017 9:48:25 PM

STATE OF MINNESOTA



MARK DAYTON
GOVERNOR

NOTICE OF APPOINTMENT

Margaret Leppik

7500 Western Avenue
Golden Valley, MN 55427
County of Hennepin
Congressional District 5

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed and commissioned you to have and to hold the office of:

FORMER LEGISLATOR

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

Effective: June 7, 2017

Term Expires: January 6, 2020

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, June 2, 2017.



Handwritten signature of Mark Dayton in black ink.

Governor

Handwritten signature of Steve Pinnon in black ink.

Secretary of State

Reappointment

Campaign Finance and Public Disclosure Board

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

www.cfboard.state.mn.us



Annual Statement of Economic Interest for Public Officials

under Minn. Stat. 10A.09

Public official information

Former Republican Legislator Member / Campaign Finance and Public Disclosure Board (117)

Margaret (Peggy) Leppik

7500 Western Ave

Golden Valley MN 55427

Occupation: retired

Employer name: retired

Business address: 7500 Western Ave.

Phone: 763-546-3328

Email: peggyleppik@comcast.net

Golden Valley MN 55427

Filing instructions

- Late fees will accrue for a statement not received by the due date.
- This form may be filed by mail to the address above, by email to cfb.eis@state.mn.us, or by fax to 651-539-1196 or 800-357-4114.
Fax filers: Keep the original and a fax confirmation notice as proof of timely filing.
- All information on this statement is public information and may be published on the Board's website.
- It is unlawful to use this information for commercial purposes.
- Board staff may be reached by telephone at 651-539-1184 or 800-657-3889, or by email at cfb.eis@state.mn.us

Period covered

This statement covers the time served as a public official in 2017 and the 30 days prior to appointment if the official was appointed in 2017

Certification

I, _____, certify that the information contained in this statement is complete, true, and correct

Print or type name

Signature

Date

Any person who signs and certifies to be true a statement which the person knows contains false information, or who knowingly omits required information, is guilty of a gross misdemeanor and is subject to a civil penalty of up to \$3,000

Sajan Inc.
 Schwab U.S. Treasury Money Fund
 Solar City Corp.
 Stratasys Ltd.
 Surmodics
 T Rowe Price Int'l Discovery Fund
 Tesla Motors Inc.
 Unimax Sys.
 United Health Group
 US Bancorp Del.
 VA Comm'lth Trans Brd
 Vanguard 500 Index Fund
 Vanguard Explorer Adm.
 Vanguard Mid Cap
 Vanguard Small Cap
 Wal-Mart Stores, Inc
 Xcel Energy Inc.

Real property

Report all non-homestead property held individually or in partnership within the state of Minnesota which meets the definition of real property as shown on the enclosed sheet.

Waiver granted for disclosure of secondary residence

.....Check each applicable category.....

County	Street address and municipality or section, township, and range	Own	Mortgage	Contract for deed	Option to buy: Value > \$2,500	Option to buy: Value > \$50,000	Acreage
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Pari-mutuel horse racing interests

Choose one:

official direct interest

official indirect interest

family interest

**Partial
interest**

**Full
interest**

Description of investment



MINNESOTA

CAMPAIGN FINANCE BOARD

Gary Haugen



Gary J. Haugen was appointed to the Board by Governor Dayton in September 2017 for a term ending in January 2021. He fills a Board position that has no restrictions on previous political activities and supports the Republican Party. Mr. Haugen is an attorney with Maslon LLP where he has handled complex litigation matters for more than 35 years. A central focus of his practice has been the litigation of product liability, mass tort, insurance-related disputes, and professional liability claims. He has also been a member of the adjunct faculty at Vanderbilt University Law School and the University of Minnesota Law School, where he currently serves on the Board of Advisors. He is the former chair of the Federal Practice Committee for the U.S. District Court for the District of Minnesota. He is a graduate of St. Olaf College and the University of Minnesota Law School.



GARY J. HAUGEN

Of Counsel

gary.haugen@maslon.com
p 612.672.8325 f 612.642.8325

AREAS OF PRACTICE

Litigation

- Business Litigation
- Insurance Coverage Litigation
- Tort & Product Liability

BAR ADMISSIONS

Minnesota, 1974
U.S. District Court

- Minnesota, 1975

U.S. Court of Appeals

- Eighth Circuit, 1986
- Federal Circuit, 1994

U.S. Tax Court, 1992
U.S. Supreme Court, 1978

EDUCATION

University of Minnesota Law School
J.D., 1974

St. Olaf College
B.A., 1970

Gary J. Haugen is an of counsel attorney in Maslon's Litigation Group. A central focus of his practice is the litigation of insurance-related disputes, product liability, mass tort, and professional liability claims. He has represented policyholders and insurers in disputes involving property, liability, life, health, and disability insurance. He has represented product manufacturers, drug and medical device companies, utilities, and other companies in product liability and mass tort litigation.

Gary is a frequent lecturer on a broad range of insurance and tort litigation subjects. He was previously a member of the adjunct faculty at Vanderbilt University Law School and the University of Minnesota Law School, where he taught insurance law. He also served as general counsel to the Minnesota Life and Health Insurance Guaranty Association and is the former chair of the Federal Practice Committee for U.S. District Court for the District of Minnesota.

SELECTED EXPERIENCE

- *In Re: Silicone Implant Insurance Coverage Litigation*, 652 N.W.2d 46 (Minn. Ct. App. 2002)
- *Honeywell, Inc. v. Minnesota Life and Health Insurance Guaranty Association*, 110 F.3d 547 (8th Cir. 1997); *cert. denied*, 522 U.S. 858 (1997); *reh'g denied*, 522 U.S. 1009 (1997)
- *Schneider (Europe) v. Scimed Life Systems*, 26 F.3d 140, 1994 WL 192001, C.A. Fed. (Minn.)
- *Honeywell, Inc. v. Minnesota Life and Health Insurance Guaranty Association*, 518 N.W.2d 557 (Minn. 1994)
- *National Hockey League v. National Hockey League Players Association*, 789 F. Supp. 288 (D. Minn. 1992)
- *Consolidated Beef Industries, Inc. v. New York Life Insurance Company*, 949 F.2d 960 (8th Cir. 1991); *cert. denied*, 503 U.S. 985 (1992)

- *Christey v. United States of America*, 841 F.2d 809 (8th Cir. 1988); *cert. denied*, 89 U.S. 1016 (1989)
- Defense of wrongful death, personal injury and property damage claims arising out of the St. Paul 3rd and Maria Gas Explosion Litigation.
- Defense of the wrongful death actions arising out of the Chicago Tylenol murders.

ACTIVITIES & MEMBERSHIPS

Professional Activities

- American Bar Association: Litigation Section; Tort Trial and Insurance Practice Section
- Minnesota State Bar Association
- Hennepin County Bar Association
- Products Liability Advisory Council
- Summit Academy OIC: Board of Directors
- U.S. District Court, District of Minnesota, Federal Practice Committee: past Chair

RECOGNITION

Recognized on Minnesota Super Lawyers® list, 2015-2017 (*Minnesota Super Lawyers® is a designation given to only 5 percent of Minnesota attorneys each year, based on a selection process that includes the recommendation of peers in the legal profession.*)

AV Preeminent, Martindale-Hubbell® (*AV Preeminent and BV Distinguished are certification marks of Reed Elsevier Properties, Inc., used in accordance with the Martindale-Hubbell® certification procedures, standards and policies.*)

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Application for the position Member

Part I: Position Sought

Agency Name: Campaign Finance and Public Disclosure Board

Position: Member

Part II: Applicant Information

Name: Gary Joe Haugen

Phone: (612) 723-4551

Mailing Address: 6208 Braeburn Circle Edina 55439

Email: gary.haugen@maslon.com

County: Hennepin

Felony Conviction: No

Mn House District: 49A

US House District: 3

Recommended by the Appointing Authority: False

Part III: Appending Documentation

Cover Letter and Resume

Type	File Type	
Resume	application/pdf	

Additional Documents (.doc, .docx, .pdf, .txt)

Type	File Name
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No additional documents found.

Part IV: Optional Statistical Information

Gender: Male

Disability: No

Age: 69

Political Affiliation: Republican

Ethnicity: White or Caucasian

Hispanic, Latino or Spanish origin: No

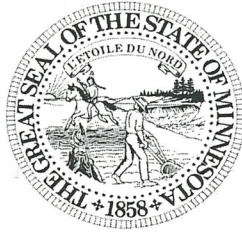
Part V: Signature

Signature: Gary Haugen

Date: 8/18/2017 10:47:05 AM

[AGENCY DETAILS](#)

STATE OF MINNESOTA



MARK DAYTON
GOVERNOR

NOTICE OF APPOINTMENT

Gary Haugen

6208 Braeburn Circle
Edina, MN 55439
County of Hennepin
Congressional District 3

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed and commissioned you to have and to hold the office of:

PUBLIC MEMBER

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

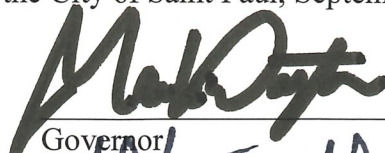
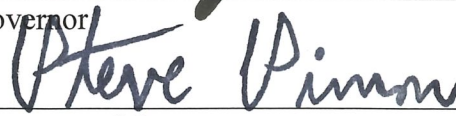
Effective: September 16, 2017

Term Expires: January 4, 2021

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, September 11, 2017.




Governor

Secretary of State

Replacing: Edward Oliver

Campaign Finance and Public Disclosure Board

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

www.cfboard.state.mn.us



Annual Statement of Economic Interest for Public Officials

under Minn. Stat. 10A.09

Public official information

Member / Campaign Finance and Public Disclosure Board (117)

Gary J. Haugen

3300 Wells Fargo Center
90 S 7th St
Minneapolis MN 55402

Occupation: Attorney

Employer name: Maslon LLP

Business address: 3300 Wells Fargo Center

90 S 7th St

Minneapolis MN 55402

Phone: 612-672-8325

Email: gary.haugen@maslon.com

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Period covered

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Certification

I, _____, certify that the information contained in this statement is complete, true, and correct

Print or type name

Signature

Date

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Gary J. Haugen

Member / Campaign Finance and Public Disclosure Board (117)

Assets disclosed on your previous statements appear below. If there are any changes to be reported for the period covered, make the changes directly on this statement. Attach additional pages, if necessary, to complete these schedules. See enclosed sheet for definitions and instructions on required disclosure.

Sources of compensation

Report the name of any associated business from which you received compensation for labor or personal services in excess of \$50 in any month during the reporting period.

.....Check each applicable category.....

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium
Maslon LLP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Business or professional activity categories

Report any business or professional activity category of each business from which you received more than \$50 in any month as an employee if you also own 25% or more of that business and any business or profession activity category in which you received compensation of more than \$2,500 in the past 12 months as an independent contractor.

Business or professional activity	Employee	Contractor
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Securities

Report all securities in any associated business held in any month during the reporting period in which your share had a market value of \$2,500 or more. Do NOT include number of shares or value of shares. For mutual funds and deferred compensation, alphabetically list the fund provider and fund name. For stocks, list the name of the stock - do not list the symbol.

Name of securities

3M Company
Abbvie Inc
Altria Group Inc
American Electric Power Co
AMG Mangers PICTET International Fund Class I
Amgen Inc
Apple Inc
AT&T
Automatick Data Processing Inc
Bank of America Corp
Bank of Montreal
BCE Inc
Berkshire Hathaway Inc Del (Class B)
Blackstone Partners Offshore Fund Ltd Class H2-New issues
Bristol Myers Squibb Co
Brown Adv Japan Alpha Opp-IS
Capital GR Non US Equity
Caterpillar Inc
Chevron Corporation
Cisco Systems Inc
Coca Cola Company
Costco Wholesafe Corp-New
Digital Reality Trust Inc
Dodge & Cox Income FD
Dodge & Cox Intl Stock Fd
DowDupont Inc
Duke Energy Corporation
Eaton Corporation PLC
Ecolab Inc
Enbridge Inc
Enterprise Products Partners
Exxon Mobil Corp
Fidelity 500 Index FD-AI
General Electric Company
GlaxoSmithKline PLC

Home Depot Inc
 HSBC Holdings PLC
 Ineligible Non-Self Dealing Fiduciary Investors - Lead series
 Ishares MSCI Eafe Index Fund
 Ishares MSCI Eafeintl Index Fd CI K
 John Hancock Inc FD - R6
 John Hancock LRF CP Core - R6
 Johnson & Johnson
 JPM Access Multi-Strategy Fund II RIC IRA & ERISA Automatic Reinvest
 JPM Core Bd Fd - R6 Fund 3900 2.56%
 JPM GLBL Res ENH Index Fd - CI I Fund 3457
 JPM Inflation MGD BD FD -R6 Fund 2152 1.91%
 JPM Tax Aware EQ FD - CL L Fund 1236
 JPM Unconstrained Debt Fd - R6 Fund 2166 3.39%
 Lockheed Martin Corp
 Magellan Midstream Partners LP
 McDonald's Corp
 Medtronic PLC
 Merk & Co Inc
 Metropolitan West T/R BD-PLN
 Microsoft Corp
 Mid America Apartment
 Nextera Energy Inc
 Nuveen Intermediate Bond Fund
 Parnassus Core Equity FD-INS
 Pentair PLC
 Pfizer Inc
 Philip Morris International
 Pimco Fd PAC Inv Mgmt Series High Yield Fd - Instl
 Prudential Financial Inc
 Qualcomm Inc
 Royal Dutch Shell PLC
 Sempra Energy
 Series 73 - New Issues Ineligible Non-Self Dealing Fiduciary Investors
 Simon Property Group Inc
 T Rowe PR Emerg Mkts Bnd-I
 TechniPFMC PLC
 Toronto-Dominion Bank
 United Parcel Services Inc
 UnitedHealth Group Inc
 US Bancorp Del
 US Dollar
 Vanguard Total Intl BND-ADM
 Verizon Communications
 Walgreen Boots Alliance Inc
 Wells Fargo & Co
 Welltower Inc
 Weyerhaeuser Co
 Xcel Energy Inc

Real property

Report all non-homestead property held individually or in partnership within the state of Minnesota which meets the definition of real property as shown on the enclosed sheet.

Waiver granted for disclosure of secondary residence

.....Check each applicable category.....

County	Street address and municipality or section, township, and range	Own	Mortgage	Contract for deed	Option to buy: Value > \$2,500	Option to buy: Value > \$50,000	Acreage
Crow Wing	6353 County Rd 13 Nisswa	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Pari-mutuel horse racing interests

Choose one:

official direct interest

official indirect interest

family interest

**Partial
interest**

**Full
interest**

Description of investment



MINNESOTA

CAMPAIGN FINANCE BOARD

Robert Moilanen



Robert Moilanen was initially appointed to the Board by Governor Dayton in October 2016, and then reappointed in June 2017, for a term that expires in January of 2019. He occupies a Board position for a member who has not been a public official, held any political party office other than precinct delegate, or been elected to public office for which party designation is required by statute in the three years preceding the member's appointment. Mr. Moilanen supports the DFL Party. Mr. Moilanen is a graduate of Gustavus Adolphus College and George Washington University Law School. Mr. Moilanen spent the early part of his legal career working in the public sector. Subsequently, he spent nearly thirty years in the private practice of law with the majority of that time spent as a partner at the law firm of Popham, Haik, Schnobrich, Kaufman and Doty. His private practice primarily focused on securities fraud. Mr. Moilanen concluded his professional career working as the Director of Securities for the State of Minnesota from 2011-2014.

Office of the Secretary of State
180 State Office Building
100 Rev. Martin Luther King Blvd.
St. Paul, Minnesota 55155

Re. Campaign Finance and Public Disclosure Board

To Whom It May Concern:

I am applying for a member position on the Campaign Finance and Public Disclosure Board ("Board").

I have previously applied and been approved by the Governor to the Board. I am resubmitting my resume with this application and hereby incorporate the information in my previous submission.

If you desire any additional information, please do not hesitate to let me know.

Thank you for your assistance in processing this application.

Sincerely,

Robert Moilanen

ROBERT MOILANEN
12920 Woodbridge Trail
Minnetonka, MN 55305
612-978-7059 • Bobmoilanen@yahoo.com

EDUCATION

GEORGE WASHINGTON UNIVERSITY LAW SCHOOL **Washington, DC**
Juris Doctorate 1974 - 1977

GUSTAVUS ADOLPHUS COLLEGE **St. Peter, MN**
Bachelor of Arts 1970 - 1973

- Graduated Cum Laude in three years
- Double major in Political Science and Environmental Studies

WORK EXPERIENCE

MINNESOTA DEPARTMENT OF COMMERCE **St. Paul, MN**
Director of Securities 2012 - 2015

- Manage Securities Registration and Enforcement Section of the Minnesota Department of Commerce, which Section generates approximately thirty-six million dollars in revenue annually.

ANTHONY OSTLUND BAER & LOUWAGIE P.A. **Minneapolis, MN**
Partner 2007- 2011

- Handling complex commercial and securities matters

ZIMMERMAN REED **Minneapolis, MN**
Senior Trial Attorney 2003-2007

- Managed class litigation involving shareholder and environmental disputes

LAW OFFICES OF ROBERT C. MOILANEN **Minneapolis, MN**
Solo Practitioner 1998-2002

- Handled cases involving shareholder, commercial, franchise and misappropriation of trade secrets disputes

POPHAM, HAIK, SCHNOBRICH & KAUFMAN, LTD. **Minneapolis, MN**
Partner 1981-1997

- Tried and managed complex litigation involving violations of federal and state securities laws, accountant malpractice and products liability

OFFICE OF VICE PRESIDENT WALTER F. MONDALE **Washington, DC**
Special Assistant to the Vice President 1980-1981

- Aided Chief of Staff with legislative, administrative and regulatory matters

OFFICE OF THE ATTORNEY GENERAL FOR THE STATE OF MINNESOTA **Minneapolis, MN**
Special Assistant Attorney General 1977-1979

- Represented Minnesota Pollution Control Agency in rulemaking and contested case proceedings

UNITED STATES DEPARTMENT OF JUSTICE **Washington, DC**
Law Clerk 1976-1977

- Worked primarily on a criminal investigation related to false filings with the Nuclear Regulatory Commission

- Drafted constituent correspondence and assisted in case work

PROFESSIONAL RECOGNITION AND ACTIVITIES

- Named "Super Lawyer" In *Law & Politics* 2000, 2002, 2004-2012
- Achieved and maintained "AV" Rating from Martindale-Hubbell 1994 – Present
- Nominations Committee Member, Hennepin County Bar Association 2010 - 2012
- Co-Chair of Committee To Elect Justice Sandra Gardebring 1992
- Received Judge's Choice Award For Professionalism 1991
- Member of Minnesota and Hennepin County Bar Associations 1990 – Present
- Published articles in *Star Tribune, Business Journal, Bench & Bar, Minnpost* and *Finance & Commerce*
- Taught classes at St. Thomas University, the Humphrey Institute and Hopkins High School
- Qualified Neutral for Mediation Under Rule 114
- Instructor, Master Trial Lawyer Practice Series

MAJOR TRIAL/LITIGATION EXPERIENCE INVOLVING MULTI MILLION DOLLAR CLAIMS

- Mike Tate, et. al. v. Restaurant Technologies, Inc.* (plaintiff – class counsel) District of Minnesota
- Wind Energy Developers, et al. v. Outland Renewable, et al.* (plaintiff) Hennepin County, MN
- Wiencke v. Metropolitan Airports Commission* (plaintiff – class counsel) Hennepin County, MN
- Rupp et al. v. Thompson et al.* (plaintiff – class counsel) Lyon County, MN
- Brainerd International Raceway v. Richard Roe, et al.* (defendant) Crow Wing County, MN
- SOUNDadvice for Sports, Inc. Securities Litigation* (plaintiff) Hennepin County, MN
- DiVall Insured Income Fund Limited Partnership, et al. v. Ernst & Young, et al.* (plaintiff) Dane County, WI
- Plants La Paloma, et al. v. E.I. DuPont, et al.* (defendant) Dade County, FL
- In re: Sahlen Securities Litigation* (plaintiff – class counsel) Southern District of Florida
- Congress Financial Corporation, et al. v. McGladrey, Hendrickson & Co., et al.* (plaintiff) Hennepin County, MN
- Latigo Ventures, et al. v. Lavenhol & Horwath* (plaintiff) Northern District of Illinois
- In re: Wickes Securities Litigation* (plaintiff – class counsel) Southern District of California

REFERENCES

Available upon request.

Application for the position Member

Part I: Position Sought

Agency Name: Campaign Finance and Public Disclosure Board

Position: Member

Part II: Applicant Information

Name: Robert Moilanen

Phone: (952) 593-1060

Mailing Address: 12920 Woodbridge Minnetonka 55305

Email: bobmoilanen@yahoo.com

County: Hennepin

Mn House District: 44B

US House District: 3

Recommended by the Appointing Authority: True

Part III: Appending Documentation

Cover Letter and Resume

Type	File Type
Cover Letter	application/vnd.openxmlformats-officedocument.wordprocessingml.document
Resume	application/vnd.openxmlformats-officedocument.wordprocessingml.document

Additional Documents (.doc, .docx, .pdf, .txt)

Type	File Name
No additional documents found.	

Part IV: Optional Statistical Information

Gender: Male

Disability: No

Age:

Political Affiliation: Democratic-Farm-Labor

Ethnicity: White or Caucasian

Hispanic, Latino or Spanish origin: No Answer

Part V: Signature

Signature: Robert Moilanen

Date: 5/1/2017 2:39:51 PM

STATE OF MINNESOTA



MARK DAYTON
GOVERNOR

NOTICE OF APPOINTMENT

Robert Moilanen

12920 Woodbridge Trail
Minnetonka, MN 55305
County of Hennepin
Congressional District 3

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed and commissioned you to have and to hold the office of:

MEMBER

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

Effective: June 7, 2017

Term Expires: January 7, 2019

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, June 2, 2017.



Mark Dayton

Governor

Steve Simon

Secretary of State

Reappointment

Campaign Finance and Public Disclosure Board

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

www.cfboard.state.mn.us



Annual Statement of Economic Interest for Public Officials

under Minn. Stat. 10A.09

Public official information

Member / Campaign Finance and Public Disclosure Board (117)

Robert Moilanen

12920 Woodbridge Tr

Minnetonka MN 55305

Phone: 952-593-1060

Email: Bobmoilanen@yahoo.com

Occupation: Attorney

Employer name: Retired

Business address: 12920 Woodbridge Trail

Minnetonka MN 55305

Filing instructions

- Late fees will accrue for a statement not received by the due date.
- This form may be filed by mail to the address above, by email to cfb.eis@state.mn.us, or by fax to 651-539-1196 or 800-357-4114.
Fax filers: Keep the original and a fax confirmation notice as proof of timely filing.
- All information on this statement is public information and may be published on the Board's website.
- It is unlawful to use this information for commercial purposes.
- Board staff may be reached by telephone at 651-539-1184 or 800-657-3889, or by email at cfb.eis@state.mn.us

Period covered

This statement covers the time served as a public official in 2017 and the 30 days prior to appointment if the official was appointed in 2017

Certification

I, _____, certify that the information contained in this statement is complete, true, and correct
Print or type name

Signature

Date

Any person who signs and certifies to be true a statement which the person knows contains false information, or who knowingly omits required information, is guilty of a gross misdemeanor and is subject to a civil penalty of up to \$3,000

Robert Moilanen

Member / Campaign Finance and Public Disclosure Board (117)

Assets disclosed on your previous statements appear below. If there are any changes to be reported for the period covered, make the changes directly on this statement. Attach additional pages, if necessary, to complete these schedules. See enclosed sheet for definitions and instructions on required disclosure.

Sources of compensation

Report the name of any associated business from which you received compensation for labor or personal services in excess of \$50 in any month during the reporting period.

.....Check each applicable category.....

Name of source	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium
Campaign Finance and Public Disclosure Board	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Business or professional activity categories

Report any business or professional activity category of each business from which you received more than \$50 in any month as an employee if you also own 25% or more of that business and any business or profession activity category in which you received compensation of more than \$2,500 in the past 12 months as an independent contractor.

Business or professional activity	Employee	Contractor

Securities

Report all securities in any associated business held in any month during the reporting period in which your share had a market value of \$2,500 or more. Do NOT include number of shares or value of shares. For mutual funds and deferred compensation, alphabetically list the fund provider and fund name. For stocks, list the name of the stock - do not list the symbol.

Name of securities

- AT&T
- Bank of Montreal
- BCE Inc.
- Bristol Myers Squibb
- ConocoPhillips
- Dow DuPont
- Duke Energy Corp.
- Enbridge Inc.
- General Mills
- Intel Corp.
- IShares ETF's-----Preferred Stock, Utilities
- Johnson & Johnson
- Kraft Heinz Corp.
- Merck & Co.
- Microsoft Corp.
- Minn. Deferred Comp--Minn. Target Fund
- Minn. Deferred Comp--Vanguard Mid Cap
- Minn. Deferred Comp--Vanguard Total Bond
- Minn. Deferred Comp--Vanguard Total International
- Misc. Mutual Funds--Franklin Income, Vanguard GNMA, Fidelity Balanced, Wells Fargo Municipal Bonds, T Rowe Price Corp. Income
- Mutual Funds managed by American Funds----AmCap, Europacific, Fundamental Investors, High Income, Bond Fund of America
- Paychex Inc.
- Royal Bank of Canada
- Royal Bank of Scotland
- Target Corp.
- Toronto Dominion Bank
- Vanguard ETF's -----FTSE Pacific, Index FDS, Utilities, FTSE Development, REIT, Short Term Bond, Energy, Dividend Appreciation
- Wells Fargo Money Market
- Xcel

Real property

Report all non-homestead property held individually or in partnership within the state of Minnesota which meets the definition of real property as shown on the enclosed sheet.

Waiver granted for disclosure of secondary residence

.....Check each applicable category.....

County	Street address and municipality or section, township, and range	Own	Mortgage	Contract for deed	Option to buy: Value > \$2,500	Option to buy: Value > \$50,000	Acreage
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Pari-mutuel horse racing interests

Choose one:

official direct interest

official indirect interest

family interest

Partial
interest

Full
interest

Description of investment



MINNESOTA

CAMPAIGN FINANCE BOARD

Daniel Rosen



Daniel N. Rosen was initially appointed in July 2014 by Governor Dayton for a term that ended in January 2018 and then reappointed in January 2018 for a term ending in January 2022. He fills a Board position requiring a member who has not been a public official, held any political party office other than precinct delegate, or been elected to public office for which party designation is required by statute in the three years preceding the member's appointment to the Board. Mr. Rosen supports the Republican Party. A lawyer in Minneapolis, Mr. Rosen is a graduate of the University of Minnesota Law School and the lead Minnesota partner of the Kluger Kaplan law firm, where he practices in the field of business and real estate litigation. Prior to law school Mr. Rosen was an officer in the United States Navy and served in Operations Desert Shield and Desert Storm.



Daniel N. Rosen
Partner
drosen@klugerkaplan.com

November 8, 2017

Mark Dayton, Governor
130 State Capitol
75 Rev. Dr. Martin Luther King, Jr. Boulevard
St. Paul, MN 55155

Dear Governor Dayton:

Enclosed in connection with my application for re-appointment to the Minnesota Campaign Finance and Public Disclosure Board, please find my "Open Appointments Application for Service on Minnesota State Agencies, Boards, Councils, Commissions or Task Forces." The following is a brief resume of my career, which is further described in the application I submitted at the time of my original appointment to the Board:

Legal career: Partner, Kluger Kaplan, Silverman, Katzen & Levine, P.L. (2017-)
Partner, Parker Rosen LLC (2005-2017)
Member, Rosen & Rosen LLC (1994-2005)

Education: University of Minnesota Law School, J.D., cum laude, 1994
University of Wisconsin – Madison, B.A., 1987
Universidad Complutense de Madrid, Spain, 1985-1986

Military Service: Lieutenant, United States Navy
(active duty, 1988-1991; reserves 1991-1994)

Should you conclude that my continued service on the Board would be helpful to you and to the people of Minnesota, I hereby offer my service on the Board for another term.

Respectfully yours,

A handwritten signature in black ink, appearing to read 'D. Rosen', written over a horizontal line.

Daniel N. Rosen

DNR/sk

CC: Office of the Secretary of State/Open Appointments
Enc.

OPEN APPOINTMENTS APPLICATION FOR SERVICE ON MINNESOTA STATE AGENCIES, BOARDS, COUNCILS, COMMISSIONS OR TASK FORCES

All information on this form is available to the public upon request.
By request, this application will be made available in alternative format (Braille, large print, audio tape, etc.)

Part I: Position Sought

Required Information (MN Stat § 15.0597 Subd. 5.)

Agency Name: Campaign Finance and Public Disclosure Board
Name of board, council, commission or task force

Position: Member
Membership position sought or enter "member"

Part II: Applicant Information

Required Information (MN Stat § 15.0597 Subd. 5.)

Name: Daniel N. Rosen

Phone: 612-767-3000

Mailing Address: 60 South 6th Street, Suite 3615
Minneapolis, MN 55402

Email: drosen@klugerkaplan.com

County: Hennepin

MN House of Rep Dist: 44B **US House of Rep Dist:** 3
Find your districts by using the Poll Finder at:
<http://pollfinder.sos.state.mn.us/>

Have you ever been convicted of a felony:
Yes _____ No XXX

Did the Appointing Authority suggest you submit your application? Yes X No _____

Attach a cover letter, resume or other information that you feel would be helpful to the Appointing Authority.

Part III: Optional Statistical Information

The following information is optional and voluntary (MN Stat §15.0597 Subd. 5.).
Information is collected for, and compiled in, the annual report on the open appointments process pursuant to MN Stat §15.0597 Subd. 7.

Gender:
Female _____
Male XX

Age: 52

Disability:
Yes _____
No xx

Political Party:
_____ Democratic-Farmer-Labor
_____ Independence
XX Republican
_____ No Party Preference
_____ Other _____

Hispanic, Latino or Spanish origin:
_____ Yes
xx No

Race: _____ African American or Black
(Check as many _____ American Indian or Alaska Native
as apply) _____ Asian or Pacific Islander

_____ White or Caucasian
_____ Other Race _____

Part IV: Signature and Submittal Instructions

I swear that, to the best of my knowledge, the above information is correct and that I satisfy all legally prescribed qualifications for the position sought. (*If another person or group is nominating the applicant, the applicant's signature indicates consent to nomination.)


Applicant Signature

11-8-17
(Date)

Mail or Submit In Person:
Office of Secretary of State
Open Appointments
180 State Office Building
100 Rev Dr Martin Luther
King Jr Blvd
St. Paul, MN 55155-1299

Phone: (651) 297-5845
Email: open.appointments@state.mn.us
Online application:
<http://www.sos.state.mn.us/index.aspx?page=5>

Applicants will not receive an acknowledgement of submitted applications; the appointing authority will notify you if an interview is desired.

FOR OFFICE USE:
Sub by AA: _____
AA: _____
Trans Date: _____

Rev.04-2014

STATE OF MINNESOTA



MARK DAYTON
GOVERNOR

NOTICE OF APPOINTMENT

Daniel Rosen

60 South 6th Street 3615
Minneapolis, MN 55402
County of Hennepin
Congressional District 3

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed and commissioned you to have and to hold the office of:

PUBLIC MEMBER

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

Effective: January 2, 2018

Term Expires: January 3, 2022

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, December 20, 2017.



Mark Dayton

Governor

Steve Pinnon

Secretary of State

Secretary of State

Reappointment

Campaign Finance and Public Disclosure Board

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

www.cfboard.state.mn.us



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under Minn. Stat. 10A.09

Public official information

Member / Campaign Finance and Public Disclosure Board (117)

Daniel Rosen

60 South 6th St, #3615

Minneapolis MN 55402

Phone: 612-767-3000

Email: drosen@klugerkaplan.com

Occupation: Lawyer

Employer name: Kluger, Kaplan, Silverman, Katzen & Levine, P.L.

Business address: 60 S. 6th St. #3615

Minneapolis MN 55402

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Daniel Rosen

Member / Campaign Finance and Public Disclosure Board (117)

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.....Check each applicable category.....

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Kluger, Kaplan, Silverman, Katzen, & Levine P.L.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Parker Rosen LLC	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Business or professional activity categories

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Name of securities

- Ackerberg Funol, LLC
- Aercap Holdings NV SGS EUR
- Affiliated Managers Group
- Air Lease Corp CLA
- Alliance Data Systems Corp
- Alphabet Inc CLA
- Amazon.com, Inc
- Apple, Inc
- Augeo, Inc.
- Bank of New York Mellon Corp
- Brookdale Senior Living, Inc
- Carvana Co
- Celgene Corp
- Citigroup, Inc
- Dynavax Technologies Corp
- Edwards Lifesciences Corp
- Facebook, Inc
- Fedex Corp
- General Motors Co
- Gilead Sciences Inc
- HCA Healthcare, Inc
- Howard Hughes Corp
- Intensity Analytics, LLC
- IRA account managed by Money Managers, Inc., specific holdings unknown to undersigned
- Jobdig, Inc
- JP Morgan Chase & Co
- Liberty Global PLC CLA
- Liberty Global PLC SER C
- Liberty Interactive Corp QVC Group Com
- Liberty Lilac Group CLA
- Liberty Lilac Group CLC
- Mastercard, Inc

Microsoft, Corp
 National Shiploading, LLC
 Progressive Corp
 TE Connectivity LTD CHF
 Toll Brothers, Inc
 Ulta Beauty, Inc
 Visa, Inc

Real property

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.....Check each applicable category.....

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Henn	2928 Dean Parkway, 4A Minneapolis	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

Pari-mutuel horse racing interests

Choose one:

- official direct interest
- official indirect interest
- family interest

Partial interest

Full interest

Description of investment



MINNESOTA

CAMPAIGN FINANCE BOARD

Stephen Swanson



Stephen D. Swanson was appointed to the Board by Governor Dayton in July 2017 for a term ending in January of 2020. He occupies an unrestricted Board position and supports the DFL Party. Judge Swanson is a graduate of the University of Cincinnati College of Law, and holds a Master of Laws degree from New York University. Following a career as an attorney with Mid-Minnesota Legal Assistance, Inc., Mr. Swanson served as a Hennepin County District Court Judge from July 1989 to February 2007 and as a Senior Judge from January 2009 to April 2014. Most recently, he served as a temporary administrative law judge with the Minnesota Office of Administrative Hearings from May 2014 to May 2017. Mr. Swanson has served as an international judge on the Court of Bosnia and Herzegovina, and worked in USAID-sponsored rule of law projects in Afghanistan, Kosovo, and Lebanon. He volunteers with the Conflict Resolution Center, and is a member of the Volunteer Lawyer's Network.

June 5, 2017

100 2nd St. SE, #105
Minneapolis, MN 55414

Mark B. Dayton
Governor, State of Minnesota
130 State Capitol
75 Rev. Dr. Martin Luther King Jr. Blvd.
St. Paul, MN 55155

Dear Governor Dayton:

Please accept my application to serve as a member of the Campaign Finance and Public Disclosure Board. I am not a former member of the Legislature. I am a retired Hennepin County District Court judge, and I am not presently a public official. However, as a temporary administrative law judge with the Minnesota Office of Administrative Hearings, I have been a public official within the three years preceding the date of my application. I have submitted my curriculum vitae along with my application.

In addition to my years of service as a district court judge, I have been privileged to have had the opportunity to live in Afghanistan, Bosnia, Kosovo, and Lebanon, through employment with United States Department of Justice and United States Agency for International Development rule-of-law projects. I have also served as an international judge on the Court of Bosnia and Herzegovina.

My experience overseas has heightened my respect and appreciation for the democratic institutions and traditions that we enjoy in the United States and the State of Minnesota. Foremost among those democratic traditions is the tradition of open, free, and honest elections.

Minnesota's commitment to preserve that tradition is embodied, in significant part, in the Campaign Finance and Public Disclosure law (Chapter 10A), which comprehensively governs campaign practices. The law also embraces the important public policy of making transparent to the voters potential conflicts of interest on the part of public officials by requiring public financial disclosure by those officials. It also requires those who seek to influence the legislative process as paid lobbyists to publicly disclose the identity of the individuals and organizations they represent, the issues they pursue, and the funds they expend on lobbying activities, including gifts to public officials. These public disclosures are essential to an open and honest electoral process.

Achievement of these important goals is dependent upon a Campaign Finance and Public Disclosure Board composed of members who are dedicated to the fair and effective implementation of the law without regard to overriding partisan interests. While I apply for a position on the Board as a member supporting the DFL party, I have never actively engaged in partisan politics on any level. I seek appointment to the Board to continue my career in public service. If appointed, I shall work diligently in a constructive way with the other Board members, and the executive director and staff of the Board, to fairly and effectively implement the law.

Thank you for your consideration of my application.

Sincerely,

Stephen D. Swanson

STEPHEN D. SWANSON

100 2nd St. S.E., #105
Minneapolis, MN 55414
612-220-8783 (mobile)
swansonstravel@hotmail.com

June 2017

PROFESSIONAL EXPERIENCE:

June 8, 2016 – present: Short-Term Technical Assistance Consultant, Judicial Training Advisor, Assistance for the Development of Afghan Legal Access and Transparency, Kabul, Afghanistan, Checchi and Company Consulting, Inc. (live in the United States and travel to Kabul periodically).

May 19, 2014 – May 10, 2017: Temporary Administrative Law Judge, Minnesota Office of Administrative Hearings (state professional services contract).

On an assigned-case basis, I conducted contested case administrative hearings for Minnesota State Agencies and other government entities, and prepared findings of fact, conclusions of law, and a recommended disposition for review by the Agency head.

June 20, 2014 – present: Conflict Resolution Center, Minneapolis, Minnesota (volunteer arbitrator).

January 2009 – April 7, 2014: Senior Judge of District Court, Fourth Judicial District, Hennepin County, Minnesota (sitting by appointment of the Minnesota Supreme Court as a senior judge on a per diem basis).

May 25, 2011 – November 16, 2012: Judicial Training Advisor, USAID Effective Rule of Law Program, Checchi and Company Consulting, Inc., Pristina, Kosovo.

Supervising two staff persons in the judicial training component of the Program and several short-term technical assistance advisors, and reporting directly to the chief of party, I was responsible for the following Program deliverables:

- Presentation, in conjunction with the Constitutional Court of the Republic of Kosovo, of a one and one-half day international conference on the applicability of universally recognized human rights in the courts of Kosovo.
- Preparation and presentation to the Constitutional Court of a proposed Code of Judicial Conduct for the Judges of the Court.

- Following discussion with the Judges, legal advisors, and Secretariat staff of the Constitutional Court, preparation of proposed amendments to the Court's Rules of Procedure.
- Presentation of two two-day workshops on legal reasoning, research, and writing for the legal advisors and researchers of the Constitutional Court.
- Preparation of summaries of the Constitutional Court's 2011 decisions and a comprehensive subject matter index to those decisions for publication in the Court's Bulletin of Case Law 2011.
- Preparation of a design framework, including technical specifications, for a Constitutional Court case decision website search engine to permit the identification of relevant precedents by legal issues presented and decided.
- Presentation of a two-day workshop on international legal cooperation in civil matters for the legal officers of the Ministry of Justice (MOJ) Department for International Legal Co-operation.
- Technical assistance to the MOJ Department for Legal Affairs in the preparation a draft law on government representation.
- Preparation of needs assessments, including technical specifications, for database and case management systems for the Department for International Legal Co-operation and the Department for Legal Affairs.
- Presentation, in conjunction with the Kosovo Judicial Institute (KJI) and Kosovo Judicial Council (KJC), of two two-day workshops (one in Pristina and one in Peja) for national judges on international legal cooperation in civil matters.
- Preparation of full curriculum and program assessments of the KJI Initial Legal Education Program for judge and prosecutor candidates and the KJI Continuous Legal Education Program for sitting judges and prosecutors.
- Presentation, in conjunction with the KJI, of a 27-hour training of trainers training for prospective legal English instructors.
- Preparation, in conjunction with the KJI, of three levels of legal English course modules.

January 8 – February 8, 2011 (11 days): Expert for Improving Analytical Skills and Writing Strategic Policy Analyses, Judicial Sector Development Project II, East West Management Institute, Inc., Sarajevo, Bosnia and Herzegovina.

I prepared a detailed proposed public policy analysis protocol and conducted a public policy analysis workshop over one and one half days for members and supporting staff of the Forum for Joint Policy, a forum composed of high level representatives of the Ministry of Justice and the High Judicial and Prosecutorial Council of Bosnia and Herzegovina.

July 30, 2010 – December 4, 2010: International Court Administration and Access to Justice Advisor, Program to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, National Center for State Courts, Beirut, Lebanon.

Supervising one staff person, and reporting to the COP, I was responsible for the following deliverables through the end of the project on December 9, 2010:

- Provide technical assistance to institutionalize the case management protocols developed for the Beirut Enforcement (Model) Court.
- Export the case management protocols developed for the Beirut Enforcement Court to the Baabda Enforcement Court through technical assistance and a workshop for judges of the Beirut Enforcement Court and judges of the Baabda Enforcement Court.
- Organize the delivery of IT training to the judges and court administrators of the Beirut and Baabda Enforcement Courts.
- Provide technical assistance to initiate the development of case management protocols in the Office of Contentious Issues, Ministry of Justice, in association with the provision of new case file shelving.
- Prepare comments, including a proposal for seminars, on an assessment performed by a local expert of the curriculum for the training of judge trainees at the Judicial Training Institute of the High Judicial Council.
- Deliver a training program for lawyers and trainee lawyers of the Tripoli Bar Association on protocols adopted by the Association designed to improve the quality of the services provided to legal aid clients through the Association's legal aid program.
- Deliver a training program for lawyers and trainee lawyers of the Beirut Bar Association on protocols adopted by the Association designed to improve the quality of the services provided to legal aid clients through the Association's legal aid program.

March 17 – April 13, 2010: Legal Aid Expert, National Center for State Courts.

In association with the Project to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, I spent 21 days in Lebanon developing, in conjunction with the Bar Associations of Beirut and Tripoli, quality enhancement protocols for use by the Associations in the administration of their legal aid programs, conducting an assessment of the need for a legal aid training curriculum, and preparing a proposed legal aid training curriculum for consideration by the Associations for use in their Training Institutes.

January 23 and 24, 2010: Instructor, Judicial Seminar on Case Law Jurisprudence in Armenia, Tsakhkadzor, Republic of Armenia, sponsored by the Office of Overseas Prosecution, Development, Assistance, and Training (OPDAT), United States Department of Justice.

With two other instructors, participated as an instructor in a seminar for 30 Cassation, Appellate, and first instance criminal court judges focused on the preparation of verdicts and the development of binding case law by the Court of Cassation.

August 29 – September 19, and November 11, 2009: Legal Aid Representation and Training Expert, National Center for State Courts.

In association with the Project to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, I spent 18 days in Lebanon performing an assessment of the civil and criminal legal aid programs administered separately by the Bar Associations of Beirut and Tripoli, and prepared a report summarizing the results of the assessment, setting

forth detailed findings, and making recommendations for specific actions to be taken by the Associations to improve the programs.

For Beirut Bar Association representatives participating in an NCSC study tour to the United States, I prepared a report summarizing the criminal and civil legal aid programs in Minnesota; presented the report to the study tour delegation at the offices of the NCSC on 11 November 2009; and participated with NCSC staff and the delegation in a workshop devoted to the development of an action plan for the adoption of recommendations made in the September report

February 2007 – December 2008: Senior Judicial Advisor, Afghanistan Rule of Law Project (ARoLP), Checchi and Company Consulting, Inc., Kabul, Afghanistan.

Supervising five staff persons in the judicial training component of the Project, and reporting directly to the COP, I was responsible for the following Project deliverables through December 2008:

- Provision of technical assistance to the Supreme Court of Afghanistan in the areas of judicial training and improved professionalism of judges.
- Delivery of 12 four-week remedial legal education (“Foundation Judicial Training”) programs to sitting judges.
- Delivery of three intensive criminal justice programs for judges.
- With donor partners, delivery of two nine-month legal education (“Judicial Stage”) programs for judicial candidates selected by the Supreme Court.
- Drafting of a modern code of judicial conduct (Regulation of Judicial Conduct for the Judges of the Islamic Republic of Afghanistan, adopted 19 June 2007), a disciplinary regulation for the enforcement of the ethical standards set forth in the Regulation, and education course materials for the training of the 1400 sitting judges on the meaning and importance of the ethical standards (course materials prepared, approved by the Supreme Court, and published).
- Training of the sitting judges on the Regulation of Judicial Conduct.
- Preparation for the Supreme Court of a draft of the Court’s activity and funding priorities (Afghanistan National Development Strategy), which were presented at a conference of donor nations held in Rome in July 2007.
- Delivery of two training of trainers training programs to prepare 39 judges in Afghanistan to be instructors in the Supreme Court’s continuing judicial education program and other training programs offered by ARoLP and other donor countries.
- Preparation of 11 judicial training courses in substantive areas of the law for use by the Supreme Court, ARoLP, and other donor countries in judicial training programs, and to be organized in bench books for distribution to all the judges of Afghanistan (following courses prepared, translated into Dari and Pashto, and approved by the Supreme Court: Regulation of Judicial Conduct, Afghan Constitutional Law, Work of the Judge, Legal Research, Penal Law – General Principles, Private Penal Law – Elements of Crimes, Commercial Law, and Commercial Procedure Law; courses in Criminal Procedure, including principles of fair trial, Special Criminal Laws,

- including anti-corruption, money laundering, counter-narcotics, and crimes against internal and external security, and Family Law in process).
- Organization of a six-day Conference of Chief Judges (03 – 08 November 2007) sponsored by the Supreme Court and attended by the members of the Supreme Court, the 34 provincial chief judges, Supreme Court judicial advisers, and other judges (total of 200 judges).
 - Organization of a study-abroad tour for Supreme Court representatives (traveled with the Chief Justice, two associate justices, and the Supreme Court chief administrator to attend the Third International Conference on the Training of the Judiciary in Barcelona from 21 – 25 October 2007).
 - Provision of technical assistance to the Supreme Court in the development of a sustainable continuing education program at the Court for in-service judges and court administrators.

February – April 2006: Judicial Consultant, International Center for Transitional Justice, United States.

In the role of a legal consultant to the Greensboro (North Carolina) Truth and Reconciliation Commission, performed research and prepared a written legal analysis of First Amendment issues presented by the events in Greensboro in November 1971.

November 8, 2004 – August 19, 2005: International Judge, Court of Bosnia and Herzegovina, Office of the High Representative, Sarajevo, Bosnia and Herzegovina.

While on a leave of absence from my position as a state district court judge, served on the Special Panel for Organized Crime, Economic Crime and Corruption, Court of Bosnia and Herzegovina, pursuant to a Decision of Lord Paddy Ashdown, former High Representative, dated November 5, 2004.

Sat as a presiding judge and as a member of several three-judge panels in preliminary and trial proceedings in high-profile money laundering, organized crime, and official corruption cases.

October 5 – 7, 2004: Judicial Consultant, Organization for Security and Cooperation in Europe, Sarajevo, Bosnia and Herzegovina.

Conducted training for OSCE legal advisors and trial monitors on the Criminal Procedure Code, and attended a training session regarding the substantive law and trial monitoring techniques of war crimes prosecutions.

January 16, 2004 – April 30, 2004: Judicial Consultant, U.S. Department of Justice OPDAT Judicial and Prosecutorial Training Program, Bosnia and Herzegovina.

While on a leave of absence from my position as a state district court judge, prepared and taught five four-day training sessions for newly-appointed judges on the implementation of

the Criminal Procedure Code, and also attended several conferences and meetings of judges and prosecutors in all parts of the country.

July 7, 1989 – February 2, 2007: Judge of District Court, Fourth Judicial District, Hennepin County, State of Minnesota (state court of general jurisdiction).

Appointed by Governor Perpich, effective July 1989; elected to three six-year terms, effective January 1, 1991, 1997, and 2003.

Presided over hundreds of criminal jury and court trials, calendars, bail hearings, pleas, sentencing hearings, and probation revocations.

Served two terms in Fourth Judicial District Family Court (prepared findings of fact, conclusions of law, orders for judgment, and judgment and decrees following motion hearings and trials without a jury).

Managed several blocks of civil cases (held settlement conferences; presided over court and jury trials, and motion hearings; and prepared hundreds of orders and memorandums).

Served three terms as an elected member of Fourth Judicial District Judges Executive Committee (court's governing body).

Appointed Chair, Minnesota Supreme Court Guardian Ad Litem Task Force (1995-96) (chaired meetings, held public hearings, and drafted Supreme Court rule regarding the use of guardians ad litem in family and juvenile court proceedings).

Appointed Presiding Judge of Fourth Judicial District Criminal Division by Chief Judge (August 1, 2004 to December 31, 2006), with general responsibility for the administration of the Division, including voting membership on the Executive Committee.

In 2006, served as one of eight judges assigned a block of serious felony cases (approximately 300 cases per year per judge), to be handled following the first appearance through trial.

October 1988 – May 1989: Administrative Law Judge, Minnesota Office of Administrative Hearings, State of Minnesota.

Presided over contested-case and rule-making hearings for state agencies and local units of government, and prepared detailed findings of fact, conclusions of law, and orders.

September 1971 – September 1988: Attorney, Mid-Minnesota Legal Assistance, Inc. (MMLA), Minneapolis, Minnesota.

July 1986 to September 1988: Managing Attorney, Southside Office, Legal Aid Society of Minneapolis (division of MMLA). Managed operations of neighborhood law office, representing clients, and supervising several staff attorneys, paralegals, and support staff.

January 1978 to July 1986: Managing Attorney, MMLA Legal Services Advocacy Project. Represented clients full time before the Minnesota Legislature and state administrative agencies, lobbying and drafting legislative bills and amendments and proposed administrative rules.

September 1971 to January 1978: Staff attorney, Legal Aid Society of Minneapolis. Represented low income clients in civil cases and administrative hearings.

Arbitrator, Fourth Judicial District Non-Binding Arbitration Program (1987). Conducted mediation and arbitration sessions in cases referred from district court.

September 1969 – June 1971: Volunteers In Service To America, Project On Urban Affairs and Poverty Law, New York University School of Law, New York, New York.

Worked with community organizations in the Williamsburg section of Brooklyn on public education and employment issues while attending New York University part time.

EDUCATION: LL.M. 1971, New York University School of Law, New York, New York
J.D. 1969, University of Cincinnati College of Law, Cincinnati, Ohio
B.A. 1966, Miami University, Oxford, Ohio

LANGUAGES: English (fluent); Spanish (intermediate)

GEOGRAPHIC EXPERIENCE: Afghanistan, Armenia, Bosnia and Herzegovina, Kosovo, Lebanon, and the United States

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Application for the position Member

Part I: Position Sought

Agency Name: Campaign Finance and Public Disclosure Board

Position: Member

Part II: Applicant Information

Name: Mr. Stephen Swanson

Phone: (612) 220-8783

Mailing Address: 100 2nd ST SE MINNEAPOLIS 55414

Email: swansonstravel@hotmail.com

County: Hennepin

Felony Conviction: No



Mn House District: 61B

US House District: 5

Recommended by the Appointing Authority: False

Part III: Appending Documentation

Cover Letter and Resume

Type	File Type	
Cover Letter	application/vnd.openxmlformats-officedocument.wordprocessingml.document	
Resume	application/vnd.openxmlformats-officedocument.wordprocessingml.document	

Additional Documents (.doc, .docx, .pdf, .txt)

Type	File Name
No additional documents found.	

Part IV: Optional Statistical Information

Gender: Male

Disability: No

Age:

Political Affiliation: No Answer

Ethnicity: No Answer

Hispanic, Latino or Spanish origin: No

Part V: Signature

Signature: Stephen D. Swanson

Date: 6/5/2017 11:06:40 AM

[AGENCY DETAILS](#)
[MEMBER DETAILS](#)

STATE OF MINNESOTA



MARK DAYTON
GOVERNOR

NOTICE OF APPOINTMENT

Judge Stephen Swanson

100 2nd Street Southeast
Minneapolis, MN 55414
County of Hennepin
Congressional District 5

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed and commissioned you to have and to hold the office of:

MEMBER

CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

Effective: July 1, 2017
Term Expires: January 6, 2020

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Great Seal of the State of Minnesota to be affixed at the Capitol in the City of Saint Paul, June 26, 2017.



Mark Dayton

Governor

Steve Simon

Secretary of State

Replacing; Emma Greenman

Campaign Finance and Public Disclosure Board

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

www.cfboard.state.mn.us



Annual Statement of Economic Interest for Public Officials

under Minn. Stat. 10A.09

Public official information

Member / Campaign Finance and Public Disclosure Board (117)

Stephen D. Swanson

100 2nd St SE

105

Minneapolis MN 55414

Occupation: Consultant and attorney

Employer name: Self-employed

Business address: 100 2nd St S.E

Phone: 612-220-8783

Email: sdswanonlaw@gmail.com

Minneapolis MN 55414

Filing instructions

- Late fees will accrue for a statement not received by the due date.
- This form may be filed by mail to the address above, by email to cfb.eis@state.mn.us, or by fax to 651-539-1196 or 800-357-4114.
Fax filers: Keep the original and a fax confirmation notice as proof of timely filing.
- All information on this statement is public information and may be published on the Board's website.
- It is unlawful to use this information for commercial purposes.
- Board staff may be reached by telephone at 651-539-1184 or 800-657-3889, or by email at cfb.eis@state.mn.us

Period covered

This statement covers the time served as a public official in 2017 and the 30 days prior to appointment if the official was appointed in 2017

Certification

I, _____, certify that the information contained in this statement is complete, true, and correct

Print or type name

Signature

Date

Any person who signs and certifies to be true a statement which the person knows contains false information, or who knowingly omits required information, is guilty of a gross misdemeanor and is subject to a civil penalty of up to \$3,000

Waiver granted for disclosure of secondary residence

.....Check each applicable category.....

County	Street address and municipality or section, township, and range	Own	Mortgage	Contract for deed	Option to buy: Value > \$2,500	Option to buy: Value > \$50,000	Acreage
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Pari-mutuel horse racing interests

Choose one:

official direct interest

official indirect interest

family interest

**Partial
interest**

**Full
interest**

Description of investment